

A

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
DP-685-US

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**TRANSMIT POWER CONTROL METHOD IN CDMA MOBILE COMMUNICATION SYSTEM**

and invented by:

Yukie Miyamoto

JC641 U.S. PTO  
09/68028  
10/15/07

If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:

 Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

 Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

 Continuation    Divisional    Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1.  Filing fee as calculated and transmitted as described below
2.  Specification having 25 pages and including the following:
  - a.  Descriptive Title of the Invention
  - b.  Cross References to Related Applications (*if applicable*)
  - c.  Statement Regarding Federally-sponsored Research/Development (*if applicable*)
  - d.  Reference to Microfiche Appendix (*if applicable*)
  - e.  Background of the Invention
  - f.  Brief Summary of the Invention
  - g.  Brief Description of the Drawings (*if drawings filed*)
  - h.  Detailed Description
  - i.  Claim(s) as Classified Below
  - j.  Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
DP-685-US

Total Pages in this Submission

**Application Elements (Continued)**

3.  Drawing(s) (*when necessary as prescribed by 35 USC 113*)  
a.  Formal Number of Sheets \_\_\_\_\_ 9 (Figs. 1-10)  
b.  Informal Number of Sheets \_\_\_\_\_
4.  Oath or Declaration  
a.  Newly executed (*original or copy*)  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d)) (*for continuation/divisional application only*)  
c.  With Power of Attorney  Without Power of Attorney  
d.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (*usable if Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6.  Computer Program in Microfiche (*Appendix*)
7.  Nucleotide and/or Amino Acid Sequence Submission (*If applicable, all must be included*)  
a.  Paper Copy  
b.  Computer Readable Copy (*identical to computer copy*)  
c.  Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8.  Assignment Papers (*cover sheet & document(s)*)
9.  37 CFR 3.73(B) Statement (*when there is an assignee*)
10.  English Translation Document (*if applicable*)
11.  Information Disclosure Statement/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Acknowledgment postcard
14.  Certificate of Mailing
- First Class  Express Mail (*Specify Label No.*): \_\_\_\_\_

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Docket No.  
DP-685-US

Total Pages in this Submission

**Accompanying Application Parts (Continued)**

15.  Certified Copy of Priority Document(s) (*if foreign priority is claimed*)

16.  Additional Enclosures (*please identify below*):

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

*An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.*

**UTILITY PATENT APPLICATION TRANSMITTAL  
(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
DP-685-US

Total Pages in this Submission

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	10	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	3	- 3 =	0	x \$80.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
					BASIC FEE \$710.00
OTHER FEE (specify purpose) _____					\$0.00
					TOTAL FILING FEE \$710.00

- A check in the amount of \_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of \_\_\_\_\_ as filing fee.
- Credit any overpayment.
- Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Sean M. McGinn  
Registration No. 34,386  
Customer No. 21254

Dated: October 6, 2000

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
APPLICATION FOR LETTERS PATENT

Title: TRANSMIT POWER CONTROL METHOD IN CDMA MOBILE  
COMMUNICATION SYSTEM

INVENTOR(S): YUKIE MIYAMOTO

09001, 073322, 073323

## TRANSMIT POWER CONTROL METHOD IN CDMA MOBILE COMMUNICATION SYSTEM

## BACKGROUND OF THE INVENTION

The present invention relates to a transmit power control method in a CDMA mobile communication system.

## Description of the Related Art

- 5 Conventionally, in a transmit power control method in a CDMA mobile communication system, an outer loop control is made depending upon, for example, a reference SIR. In an up link, the outer loop control is made to update the reference SIR (Signal to Interference Ratio) to which a BTS (Base Transceiver Station) refers  
10 in a high-speed closed loop control.

A description will now be given of the high-speed closed loop control in the up link. In the high-speed closed loop control in the up link, the control is made to periodically change transmit power of an MS (Mobile Station) such that a receive SIR of an up call signal can approach the reference SIR. For example, when the receive SIR measured in the BTS is smaller than the reference SIR, the control is made to set a TPC (Transmit Power Control) bit in a down signal to an instruction pattern to increase MS transmit power, and report the instruction pattern to the MS. On the other hand, when the receive SIR is larger than the reference SIR, the control is made to set a TPC bit in the down signal to an instruction pattern to decrease the MS transmit power, and report the instruction pattern to the MS. If the MS is simultaneously connected to a plurality of BTSs, the MS receives TPC bit patterns independently set by the BTSs. When the MS receives different types of TPC bit patterns from the BTSs, that is, when one BTS requests an increase of transmit power and the other BTS requests a decrease of transmit power, the control is made to

increase the MS transmit power as long as all the BTSs connected to the MS request the increase of transmit power, or decrease the MS transmit power otherwise.

It is necessary to set the reference SIR to a minimum value  
5 required to achieve a desired level of speech communication quality. However, an optimal value of the reference SIR varies depending upon a propagation environment. Further, when the MS is connected to the plurality of BTSs, an RNC (Radio Network Controller) performs selection/synthesis processing of an up receive  
10 signal from each of the BTSs. An optimal value of the reference SIR varies depending upon a variation in gain obtained by the selection/synthesis. When the reference SIR is set to a larger value than is necessary, excessive communication quality causes excessive MS transmit power, resulting in an increased interference with other  
15 users. Alternatively, when the reference SIR is too small, it is impossible to achieve the desired level of communication quality after the selection/synthesis in the RNC. Hence, another control has been studied of adaptively changing the reference SIR so as to maintain a constant level of communication quality.

20 An illustrative study of the prior art reference SIR control method is disclosed in "Outer Loop Algorithm of Transmit Power Control in CDMA Cellular System" IEICE General Meeting Report B-5-145, The Institute of Electronics, Information and Communication Engineers (1999). A periodical control method and  
25 an immediate control method are shown as the control algorithm. In the former method, a control is made to vary the reference SIR depending upon a result of measurement of a frame error rate (FER). The control includes the steps of finding the FER at intervals of the predetermined number of frames, and comparing the FER with a  
30 desired FER to increase the reference SIR if the measured FER is

larger than the desired FER, or decrease the reference SIR if the measured FER is smaller. On the other hand, in the latter method, a control is made to vary the reference SIR depending upon the presence or absence of error in each of the frames. The control  
5 includes the steps of detecting the presence or absence of error for each of the receive frames, and increasing the reference SIR by a predetermined value  $S_{inc}$  if the error is found in any one of the frames, or decreasing the reference SIR by a predetermined value  $S_{dec}$  if no error is found. The predetermined value  $S_{inc}$  and the  
10 predetermined value  $S_{dec}$  are set such that the following expression can be written by using the desired FER (hereinafter referred to as FERtg):

$$S_{dec} = S_{inc} \times FER_{tg} / (1 - FER_{tg})$$

15 In the periodical control method, a long period is required to measure many frames in calculation of the FER, resulting in a problem in that the reference SIR can be changed at a lower speed. In the immediate control method, however, since the reference SIR is  
20 changed for each of the frames, it is possible to change the reference SIR at a higher speed than that in the periodical control method.

However, in the second prior-art, i.e., the immediate control method, the step of decreasing the reference SIR is extremely smaller than the step of increasing the reference SIR. Hence, when the  
25 reference SIR should be decreased, a very long period is required to decrease the reference SIR to the optimal value. Thus, the delayed decrease of the reference SIR causes the MS to transmit excessive transmit power for the delayed time interval, resulting in a problem of increased power interfering with other users. Consequently,  
30 there is another problem in that an additional control should be made

of changing the reference SIR as fast as possible according to the circumstances so as to avoid quality degradation due to a delayed control of increasing the reference SIR, and excessive MS transmit power due to a delayed control of decreasing the reference SIR.

5

## SUMMARY OF THE INVENTION

In view of the foregoing problems, it is an object of the present invention to provide a transmit power control method in a CDMA mobile communication system, of changing at a high speed a reference SIR used for reference in a high-speed closed loop control of an up link.

According to the present invention, for achieving the above-mentioned objects, there is provided a transmit power control method in a CDMA mobile communication system including the checking step of checking whether one or more base transceiver stations (BTSs) are connected, the calculating step of, when a result of the checking step shows that two or more BTSs are connected, selecting CH receive SIRs (Signal to Interference Ratios) corresponding to the connected BTSs, and making a calculation by using the selected values, the reference value changing step of changing a value of a reference value  $S_{ref}$  according to a result of calculation, the upper limit setting step of, when the result of the checking step shows that only one BTS is connected, setting the reference value  $S_{ref}$  to an upper limit, and the reporting step of reporting the changed reference value  $S_{ref}$  to all the connected BTSs in each of the steps. In the method, it is possible to decide the reference value  $S_{ref}$  in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSs.

30 Preferably, the CH receive SIR is any one of a Perch CH receive

SIR and a communication CH receive SIR for each of the connected BTSSs.

- Preferably, the calculation made by using the selected value in the calculating step includes any one of the step of selecting the maximum value Smax and the second largest value Sscd from among the CH receive SIRs corresponding to the connected BTSSs and the step of selecting the maximum value Smax from among the CH receive SIRs corresponding to the connected BTSSs, and any one of the step of calculating a difference (X) between the Smax and the Sscd and the step of calculating the number (Nbts) of BTSSs in which a difference between the Smax and the receive SIR becomes a predetermined value T2 or less.

- Preferably, the reference value changing step is any one of the step of changing the reference value Sref to a value according to the difference (X) and the step of changing the reference value Sref to a value according to the number (Nbts).

- Preferably, when the X is equal to a predetermined threshold value T1 or more, it is decided that only a small gain can be obtained by selection/synthesis, thereby setting the reference value Sref to an upper limit irrespective of results of the steps.

- Preferably, when the X is equal to a predetermined threshold value T1 or less, it is decided that a sufficient gain can be obtained by selection/synthesis, thereby setting the reference value Sref to a value according to the X.

- Preferably, the reference value Sref is found by the following expression:

$$Sref = Sref_0 - (T1 - X) \times$$

where is a desired constant,

- T1 is a predetermined threshold value, and

Sref0 is an upper limit.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The objects and features of the present invention will become  
5 more apparent from the consideration of the following detailed  
description taken in conjunction with the accompanying drawings in  
which:

Fig. 1 is a block diagram of a CDMA mobile communication  
system to which an embodiment of a transmit power control method  
10 in a CDMA mobile communication system according to the present  
invention is applied;

Fig. 2 is a flow chart showing an operation in a first  
embodiment;

Fig. 3 is a conceptual diagram 1 showing a connection state of  
15 an MS in communication with a plurality of BTSs;

Fig. 4 is a conceptual diagram 2 showing a connection state of  
the MS in communication with the plurality of BTSs;

Fig. 5 is a diagram showing a reference SIR in the first and  
third embodiments;

20 Fig. 6 is a flow chart showing an operation in a second  
embodiment;

Fig. 7 is a diagram showing a reference SIR in the second and  
fourth embodiments;

Fig. 8 is a block diagram of a CDMA mobile communication  
25 system to which the third and fourth embodiments are applied;

Fig. 9 is a flow chart showing an operation in the third  
embodiment; and

Fig. 10 is a flow chart showing an operation in the fourth  
embodiment.

## DESCRIPTION OF THE PREFERRED EMBODIMENTS

A detailed description will now be given of preferred embodiments of a transmit power control method in a CDMA mobile communication system according to the present invention referring to the accompanying drawings. Figs. 1 to 10 show one embodiment of the transmit power control method in the CDMA mobile communication system of the present invention.

### Embodiment 1

- Referring to Fig. 1, the first embodiment is applied to the CDMA mobile communication system including a mobile station (hereinafter abbreviated as MS) 101, a base transceiver station (hereinafter abbreviated as BTS) 201, and a radio network controller (hereinafter abbreviated as RNC) 301. The BTS 201 includes a signal processing portion 205 having a modulator/demodulator portion (modem) 208, an SIR measuring portion 209, a transmit power controlling portion 210, and a reference SIR setting portion 211, an interface portion 207, a controlling portion 206, a TRX 204, an AMP 203, and an antenna 202. The RNC 301 includes a diversity handover trunk (DHT) 303 having a reference SIR deciding portion 304, a Perch CH receive quality observing portion 305, and a selection/synthesis processing portion 306, and an interface portion 302.

The interface portions 207 and 302 have an interface function between the plurality of BTSs 201 and the RNC 301. The controlling portion 206 has a call processing function and the function of controlling a state of the BTS 201. The MODEM portion 208 performs base band processing such as data error-correcting coding, data demodulation, diffusion modulation with respect to transmit data, and synchronous processing, back-diffusion, and data

demodulation with respect to receive data.

The SIR measuring portion 209 measures a signal to interference ratio (hereinafter abbreviated as SIR) of a receive signal. The transmit power controlling portion 210 decides a TPC bit pattern  
5 depending upon a reference SIR reported from the reference SIR deciding portion 304 of the RNC 301 and a receive SIR reported from the SIR measuring portion 209.

The MODEM portion 208 adds to a down transmit signal a TPC bit reported from the transmit power controlling portion 210. The  
10 TRX (frequency converter) 204 converts frequency. The AMP (amplifier) 202 has the function of amplifying power. The Perch CH receive quality observing portion 305 of the DHT (Diversity Handover Trunk) 303 observes a Perch CH receive SIR of an inform channel reported by the MS 101 to calculate a receive SIR for each of  
15 the connected BTSs.

The reference SIR deciding portion 304 decides a reference SIR for the MS 101 on the basis of Perch CH receive SIR information for each of the connected BTSs reported by the Perch CH receive quality observing portion 305, and reports the result to the transmit power  
20 controlling portion 210 of the BTS 201. The selection/synthesis processing portion 306 performs selection/synthesis processing of an up receive frame if the MS 101 is in communication with the plurality of BTSs 201.

Referring now to Figs. 1 and 2, a detailed description will be  
25 given of an illustrative whole operation in a method of transmitting transmit power in the first embodiment of the present invention.

The MS 101 measures the Perch CH receive SIR transmitted from the peripheral BTS 201, and uses an up signal to periodically inform the RNC 301 of the measured receive SIR through the BTS  
30 201. A Perch CH is an inform channel transmitted from each of the

BTSs at all times. If the BTS 201 is constituted by a plurality of sectors, the Perch CH is transmitted from each of the sectors. The Perch CH receive quality observing portion 305 of the RNC 301 observes the Perch CH receive SIR information of an in-  
5 communication sector reported from the MS 101. When the MS is in communication with the plurality of sectors in one BTS, the Perch CH receive quality observing portion 305 calculates the perch CH receive SIR information for each BTS by summing the Perch CH receive SIRs of the sectors.

10        The Perch CH receive quality observing portion 305 measures Perch CH receive SIR information for each of the connected BTSs 201 to report the information to the reference SIR deciding portion 304. The reference SIR deciding portion 304 compares the sizes of the reported Perch CH receive the SIRs to select the maximum SIR and  
15        the second largest SIR. The reference SIR deciding portion 304 decides a value of the reference SIR according to a difference between the maximum SIR and the second largest SIR. If the difference between the SIRs is more than a threshold value, the reference SIR is set to an upper limit. If the difference between the SIRs is equal to the threshold value or less, the reference SIR is more decreased as  
20        the difference between the SIRs is smaller. When only one BTS is connected, the reference SIR is set to the upper limit. The reference SIR deciding portion 304 reports the changed reference SIR to the transmit power controlling portion 210 of the BTS 201.

25        The transmit power controlling portion 210 decides the TPC bit pattern to be contained in a down signal based on the result of comparison between an up communication channel receive SIR of the MS 101, measured in the SIR measuring portion 209 and the reference SIR reported from the RNC 301.

30        A description will now be given of a reference SIR deciding

algorithm in the reference SIR deciding portion 304 with reference to the flow chart shown in Fig. 2. In the flow chart, i denotes the BTS connected to the MS 101, and M is the number of BTSSs. If M is two or more (Step S1), the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  are selected from among Perch CH receive SIRs (hereinafter referred to as  $Sp(i)$  where  $i = 1, 2, \dots, M$ ) corresponding to the connected BTSSs (Step S2).

A gain of selection/synthesis obtained in the selection/synthesis processing portion 306 can be estimated by finding a difference X 10 between the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  among the Perch CH receive SIRs (Step S3). The selection/synthesis means an operation in which, when the MS 101 is in communication with the plurality of BTSSs, a frame having good quality is selected from among the receive frames in an up signal transmitted from each 15 of the BTSSs 201 to the RNC 301.

A description will now be given of the selection/synthesis when an MS 11 is connected to two BTSSs, i.e., a BTSS 13 and a BTSS 12 shown by Figs. 3 and 4. As shown in Fig. 3, the MS 11 may be positioned relatively near the BTSS 12 with good radio propagation 20 characteristic between the MS 11 and the BTSS 12, and poor radio propagation characteristic between the MS 11 and the BTSS 13. In this case, an RNC 14 receives from the BTSS 12 an up receive frame including fewer errors, and receives from the BTSS 13 an up receive frame including greater errors. Thus, there is a high probability 25 that the selection/synthesis processing portion 306 selects the receive frame from the BTSS 12 from among the receive frames transmitted from the BTSS 12 and the BTSS 13.

On the other hand, as shown in Fig. 4, the MS 11 may stay at a substantially intermediate position between the BTSS 13 and the BTSS 30 12 with the same error ratio in the receive frame. In this case, the

selection/synthesis processing portion 306 can select each of the receive frames from the BTS 12 and the BTS 13 with the substantially same probability. As illustratively shown in Fig. 4, as a difference in propagation state between the connected BTSs is smaller, the gain of selection/synthesis becomes larger. With a larger gain obtained by the selection/synthesis, it is possible to reduce by the gain the reference SIR set for each of the connected BTSs. Hence, the reference SIR (hereinafter referred to as Sref) is defined as a function of X as shown in the formula (1) (Step S4):

10

$$Sref = F(X) \quad \dots(1)$$

Fig. 5 shows an illustrative function F(X). If X is more than a threshold value T1, it is decided that a small gain can be obtained by the selection/synthesis. Thus, the following expression is used:

15

$$Sref = Sref0 \quad \dots(2)$$

where Sref0 is a reference SIR on the assumption that no selection/synthesis is performed, and serves as an upper limit of Sref. If X is equal to the threshold value T1 or less, it is decided that a sufficient gain can be obtained by the selection/synthesis. Then, Sref is defined as a value according to X as shown in the following expression:

25

$$Sref = Sref0 - (T1 - X) \times \alpha \quad \dots(3)$$

Where  $\alpha$  is a desired constant.

As X is smaller, Sref is set to a larger value. Further, when no selection/synthesis is performed because one BTS is connected ( $M =$

1), Sref is set to an upper limit depending upon the expression (2) (Step S5).

- After Sref is changed according to the above steps, the reference SIR deciding portion 304 reports Sref to each of the  
5 connected BTSs 201 (Step S6). Since it is decided by using the Perch CH receive SIR information whether or not the reference SIR should be changed, it is possible to respond to a variation in selection/synthesis gain due to an increase or decrease of the number of connected BTSs at a higher speed than that in the prior art control  
10 method (a periodical control method, or an immediate control method).

- It is the first effect to prevent degradation of speech communication quality by increasing the reference SIR at a high speed when the selection/synthesis gain in the RNC is decreased.  
15 This is because it is possible to detect a decrease of the selection/synthesis gain at a high speed by observing the Perch CH receive SIR reported from the MS at regular intervals.

- It is the second effect to, when the selection/synthesis gain in the RNC is increased, reduce power interfering with other users by  
20 decreasing the reference SIR at a high speed. This is because it is possible to detect an increase of the selection/synthesis gain at a high speed by observing the Perch CH receive SIR reported from the MS at regular intervals.

- It is the third effect that it is possible to use the optimal value  
25 of the reference SIR in consideration of the selection/synthesis gain even when, after the MS is switched to discrete communication CHs, the MS is connected to the plurality of BTSs to perform the selection/synthesis. This is because it is possible to measure the Perch CH receive SIRs transmitted from the sectors at all times  
30 before the MS is switched to the communication CHs, and previously

decide the reference SIR depending upon the measured receive SIR.

### Embodiment 2

A description will now be given of embodiment 2 of the present invention referring to Figs. 1 and 6. Referring to the block diagram of Fig. 1, the embodiment 2 is identical with the embodiment 1 except that a reference SIR deciding portion 304 selects the maximum value from among Perch CH receive SIRs corresponding to connected BTSs, reported from a Perch CH receive quality observing portion 305, and calculates the number of connected BTSs (hereinafter referred to as Nbts) in which a difference between the maximum value and the receive SIR becomes equal to a threshold value or less, thereby deciding depending upon Nbts whether or not the reference SIR should be changed. If Nbts is two or more, a current reference SIR is changed according to Nbts. If Nbts is one or only one BTS is connected, the reference SIR is set to an upper limit Sref0. The reference SIR deciding portion 304 reports the changed reference SIR to a transmit power controlling portion 210 of a BTS 201.

Referring now to the flow chart of Fig. 6, a description will be given of a reference SIR deciding algorithm employed in the reference SIR deciding portion 304. In the flow chart, i denotes the BTS connected to an MS 101, and M is the number of BTSs. If M is two or more (Step S1), the maximum value Smax is selected from among Perch CH receive SIRs (hereinafter referred to as Sp(i) where  $i = 1, 2, \dots, M$ ) corresponding to the connected BTSs (Step S22). Subsequently, a difference between Smax and Sp(i) except Smax is compared with a predetermined threshold value T2 according to the following formula (4) to calculate the number Nbts of connected BTSs (Step S23):

$$S_{max} - Sp(i) \quad T2 \quad \dots(4)$$

The Nbts obtained by the calculation using above formula (4) means the number of BTSs having similar propagation path  
 5 characteristic among the connected BTSs. As Nbts is larger, a gain of selection/synthesis becomes greater. Therefore, it is possible to decrease the reference SIR set for each of the connected BTSs. Then, the reference SIR is defined as a function of Nbts as follows:

10       $Sref = F(Nbts) \quad \dots(5)$

Fig. 7 shows an illustrative relationship between Nbts and Sref shown by the function  $F(Nbts)$  according to the formula (5). For Nbts = 0, Sref becomes an upper limit  $Sref0$ . As Nbts is more  
 15 increased, the gain of the selection/synthesis is more increased. Hence, Sref should be decreased (Step 24).

$$F(0) > F(1) > F(2) \quad \dots(6)$$

20      The Perch CH receive SIRs are used to calculate the number of connected BTSs in which the selection/synthesis gain can be obtained, and it is decided depending upon the number of BTSs whether or not the reference SIR should be changed. Thus, it is possible to change the reference SIR at a higher speed than that in the prior-art control  
 25 method.

Alternatively, in the embodiment 2, a difference X between the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  of  $Sp(i)$  may be calculated as in the first embodiment, and Sref may be defined as the above-mentioned function of Nbts and X. The relationship can  
 30 be written in the following formula (7):

$$S_{ref} = F(N_{bts}, X) \quad \dots(7)$$

- The constant  $\alpha$  of the formula (3) discussed in the first embodiment may be varied according to Nbts. For example, the constant  $\alpha$  may be more increased as Nbts becomes larger. In such a manner, by using both of Nbts and X as parameters of the function, it is possible to provide a more strict control in response to a variation in gain by the selection/synthesis.

10 Embodiment 3

A description will now be given of the embodiment 3 of the present invention referring to Figs. 8 and 9. Referring to the block diagram of Fig. 8, the embodiment 3 differs from the embodiment 1 in that a communication CH receive quality observing portion 405 observes receive SIR information of an up communication CH, reported from a connected BTS 201, and depending upon the receive SIR information of the up communication CH, reported from the communication CH receive quality observing portion 405, it is decided in a reference SIR deciding portion 304 whether or not a reference SIR should be changed.

The reference SIR deciding portion 304 compares the sizes of the reported up communication CH receive SIRs corresponding to the connected BTSs to select the maximum SIR and the second largest SIR. If a difference between the maximum SIR and the second largest SIR is equal to a threshold value or less, the reference SIR is decreased according to the difference between the SIRs. If the difference between the maximum SIR and the second largest SIR is more than the threshold value or only one BTS is connected, the reference SIR is set to an upper limit. The reference SIR deciding portion 304 reports the changed reference SIR to the transmit power

controlling portion 210 of the BTS 201.

Referring now to the flow chart of Fig. 9, a description will be given of a reference SIR deciding algorithm employed in the reference SIR deciding portion 304. The embodiment 3 differs from the

- 5 embodiment 1 in that the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  are selected from among up communication CH receive SIRs corresponding to the connected BTSS in Step S32. A gain of selection/synthesis obtained in the selection/synthesis processing portion 306 can be estimated by finding a difference  $X$
- 10 between the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  among the communication CH receive SIRs (Step S33). Then,  $S_{ref}$  is defined as a function of  $X$  as follows (Step 34):

$$S_{ref} = F(X) \quad \dots(8)$$

15

- Fig. 5 shows an illustrative relationship of the function  $F(X)$ . When  $X$  is more than a threshold value  $T_1$ , it is decided that a small gain can be obtained by selection/synthesis, resulting in setting  $S_{ref}$  to an upper limit  $S_{ref0}$ . When  $X$  is equal to the threshold value  $T_1$  20 or less, the reference SIR  $S_{ref}$  is changed by using a function in which  $S_{ref}$  becomes larger as  $X$  becomes larger as in the illustrative expression (3) (Step S34). According to the above steps, by using a result of measurement of the communication CH receive SIR, it is decided whether or not the reference SIR should be changed. It is 25 thereby possible to change the reference SIR at a higher speed than that in the prior-art control method.

#### Embodiment 4

- A description will now be given of the embodiment 4 of the present invention referring to Figs. 8 and 10. Referring to the block 30

diagram of Fig. 8, the embodiment 4 differs from the embodiment 2 in that a communication CH receive quality observing portion 405 observes receive SIR information of an up communication CH, reported from a connected BTS 201, and based on the receive SIR

- 5 information of the up communication CH, reported from the communication CH receive quality observing portion 405, it is decided in a reference SIR deciding portion 304 whether or not a reference SIR should be changed.

10 A reference SIR deciding portion 304 selects the maximum value from among up communication CH receive SIRs corresponding to connected BTSSs, reported from a Perch CH receive quality observing portion 305, and calculates the number of connected BTSSs (Nbts) in which a difference between the maximum value and the receive SIR becomes equal to a threshold value or less, thereby

15 deciding depending upon Nbts whether or not the reference SIR should be changed. If Nbts is two or more, a current reference SIR is changed according to Nbts. If Nbts is one or only one BTS is connected, the reference SIR is set to an upper limit. The reference SIR deciding portion 304 reports the changed reference SIR to a transmit power controlling portion 210 of a BTS 201.

Referring now to the flow chart of Fig. 10, a description will be given of a reference SIR deciding algorithm employed in the reference SIR deciding portion 304. In the flow chart, i denotes the BTS connected to an MS 101, and M is the number of BTSs. If M is two or more (Step S1), the maximum value  $S_{max}$  is selected from among up communication CH receive SIRs corresponding to the connected BTSs (Step S42). Subsequently, a calculation is made to find the number  $N_{BTS}$  of connected BTSs in which a difference between  $S_{max}$  and the up communication CH receive SIR except  $S_{max}$  becomes equal to a predetermined threshold value  $T_2$  or less (Step S23). As

Nbts becomes larger, a selection/synthesis gain becomes larger. Hence, Sref is changed to decrease. Fig. 7 shows an illustrative relationship between Nbts and Sref. By using the receive SIR of the communication CH, a calculation is made to find the number of

- 5 connected BTSs in which the selection/synthesis gain can be obtained, and it is decided whether or not the reference SIR should be changed. It is thereby possible to change the reference SIR at a higher speed than that in the prior art control method.

Alternatively, in the embodiment 4, there may be employed  
10 another method in which a difference X between the maximum value Smax and the second largest value Sscd of Sp(i) is calculated as in the third embodiment, and Sref is found by the above-mentioned function of Nbts and X according to the formula (7). In this method, the constant of the formula (3) discussed in the embodiment 3 may be  
15 varied according to Nbts. For example, the constant may be more increased as Nbts is larger. By using both of Nbts and X as parameters of the function, it is possible to provide a more strict control in response to a variation in gain by the selection/synthesis.

According to the above embodiments, by observing for each of  
20 the connected BTSs the receive SIR of the Perch CH received by the MS, it is possible to detect the variation in selection/synthesis gain in the RNC. It is thereby possible to change at a high speed the reference SIR used for reference in a high-speed closed loop control of an up link.

25 As is apparent from the above discussion, the transmit power control method in the CDMA mobile communication system of the present invention includes the step of checking whether one or more base transceiver stations (BTSs) are connected, the step of, when the result of the checking step shows that two or more BTSs are  
30 connected, selecting CH receive SIRs (Signal to Interference Ratios)

corresponding to the connected BTSSs, and making the calculation by using the selected values, and the step of changing the value of the reference value  $S_{ref}$  according to the result of calculation. The method also includes the step of, when the result of the checking step

- 5 shows that only one BTS is connected, setting the reference value Sref to the upper limit, and the step of reporting the changed reference value Sref to all the connected BTSs in each of the steps. Therefore, it is possible to change the reference SIR at a high speed in response to the variation in selection/synthesis gain due to the increase or the decrease of the number of connected BTSs.

While preferred embodiments of the present invention have been described using specific terms, such description is for illustrative purposes only, and it is to be understood that changes and variations may be made without departing from the spirit or scope of the following claims.

On 23 April 1923, the first flight of the B-17 Flying Fortress took place.

WHAT IS CLAIMED IS:

1. A transmit power control method in a CDMA mobile communication system comprising:

a checking step of checking whether one or more base transceiver stations (BTSs) are connected;

5 a calculating step of, when a result of the checking step shows that two or more BTSs are connected, selecting CH receive SIRs (Signal to Interference Ratios) corresponding to the connected BTSs, and making a calculation by using the selected values;

10 a reference value changing step of changing a value of a reference value Sref according to a result of calculation;

an upper limit setting step of, when the result of the checking step shows that only one BTS is connected, setting the reference value Sref to an upper limit; and

15 a reporting step of reporting the changed reference value Sref to all the connected BTSs in each of the steps,

wherein it is possible to decide the reference value Sref in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSs.

2. A transmit power control method in a CDMA mobile communication system according to claim 1, wherein the CH receive SIR is any one of a Perch CH receive SIR and a communication CH receive SIR for each of the connected BTSs.

3. A transmit power control method in a CDMA mobile communication system comprising:

a checking step of checking whether one or more base transceiver stations (BTSs) are connected;

5 a calculating step of, when a result of the checking step shows

that two or more BTSs are connected, selecting CH receive SIRs (Signal to Interference Ratios) corresponding to the connected BTSs, and making a calculation by using the selected values;

10 a reference value changing step of changing a value of a reference value  $S_{ref}$  according to a result of calculation;

an upper limit setting step of, when the result of the checking step shows that only one BTS is connected, setting the reference value  $S_{ref}$  to an upper limit;

15 to all the connected BTSs in each of the steps, wherein it is possible to decide the reference value  $S_{ref}$  in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSs;

20 said CH receive SIR is any one of a Perch CH receive SIR and a communication CH receive SIR for each of the connected BTSs;

said the calculation made by using the selected value in the calculating step comprises:

25 any one of the step of selecting the maximum value  $S_{max}$  and the second largest value  $S_{scd}$  from among the CH receive SIRs corresponding to the connected BTSs and the step of selecting the maximum value  $S_{max}$  from among the CH receive SIRs corresponding to the connected BTSs; and

30 any one of the step of calculating a difference ( $X$ ) between the  $S_{max}$  and the  $S_{scd}$  and the step of calculating the number ( $N_{bts}$ ) of BTSs in which a difference between the  $S_{max}$  and the receive SIR becomes a predetermined value  $T_2$  or less.

4. A transmit power control method in a CDMA mobile communication system according to claim 3, wherein, when the  $X$  is equal to a predetermined threshold value  $T_1$  or more, it is decided

that only a small gain can be obtained by selection/synthesis, thereby  
 5 setting the reference value  $S_{ref}$  to an upper limit irrespective of  
 results of the steps.

5. A transmit power control method in a CDMA mobile communication system according to claim 3, wherein, when the X is equal to a predetermined threshold value T1 or less, it is decided that a sufficient gain can be obtained by selection/synthesis, thereby setting the reference value Sref to a value according to the X.

6. A transmit power control method in a CDMA mobile communication system according to claim 3, wherein the reference value  $S_{ref}$  is found by the following expression:

5            $S_{ref} = S_{ref0} - (T1 - X) x$   
where  $x$  is a desired constant,  
 $T1$  is a predetermined threshold value, and  
 $S_{ref0}$  is an upper limit.

7. A transmit power control method in a CDMA mobile communication system comprising:

a checking step of checking whether one or more base transceiver stations (BTSs) are connected;

5 a calculating step of, when a result of the checking step shows  
 that two or more BTSSs are connected, selecting CH receive SIRs  
 (Signal to Interference Ratios) corresponding to the connected BTSSs,  
 and making a calculation by using the selected values;

10 a reference value changing step of changing a value of a reference value Sref according to a result of calculation; an upper limit setting step of, when the result of the checking

step shows that only one BTS is connected, setting the reference value Sref to an upper limit;

- 15 a reporting step of reporting the changed reference value Sref to all the connected BTSSs in each of the steps, wherein it is possible to decide the reference value Sref in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSSs;

- 20 said CH receive SIR is any one of a Perch CH receive SIR and a communication CH receive SIR for each of the connected BTSSs;

said the calculation made by using the selected value in the calculating step comprises:

- 25 any one of the step of selecting the maximum value Smax and the second largest value Sscd from among the CH receive SIRs corresponding to the connected BTSSs and the step of selecting the maximum value Smax from among the CH receive SIRs corresponding to the connected BTSSs; and

- 30 any one of the step of calculating a difference (X) between the Smax and the Sscd and the step of calculating the number (Nbts) of BTSSs in which a difference between the Smax and the receive SIR becomes a predetermined value T2 or less, and

- 35 said reference value changing step is any one of the step of changing the reference value Sref to a value according to the difference (X) and the step of changing the reference value Sref to a value according to the number (Nbts).

8. A transmit power control method in a CDMA mobile communication system according to claim 7, wherein, when the X is equal to a predetermined threshold value T1 or more, it is decided that only a small gain can be obtained by selection/synthesis, thereby setting the reference value Sref to an upper limit irrespective of

results of the steps.

9. A transmit power control method in a CDMA mobile communication system according to claim 7, wherein, when the X is equal to a predetermined threshold value T1 or less, it is decided that a sufficient gain can be obtained by selection/synthesis, thereby  
5 setting the reference value Sref to a value according to the X.

10. A transmit power control method in a CDMA mobile communication system according to claim 7, wherein the reference value Sref is found by the following expression:

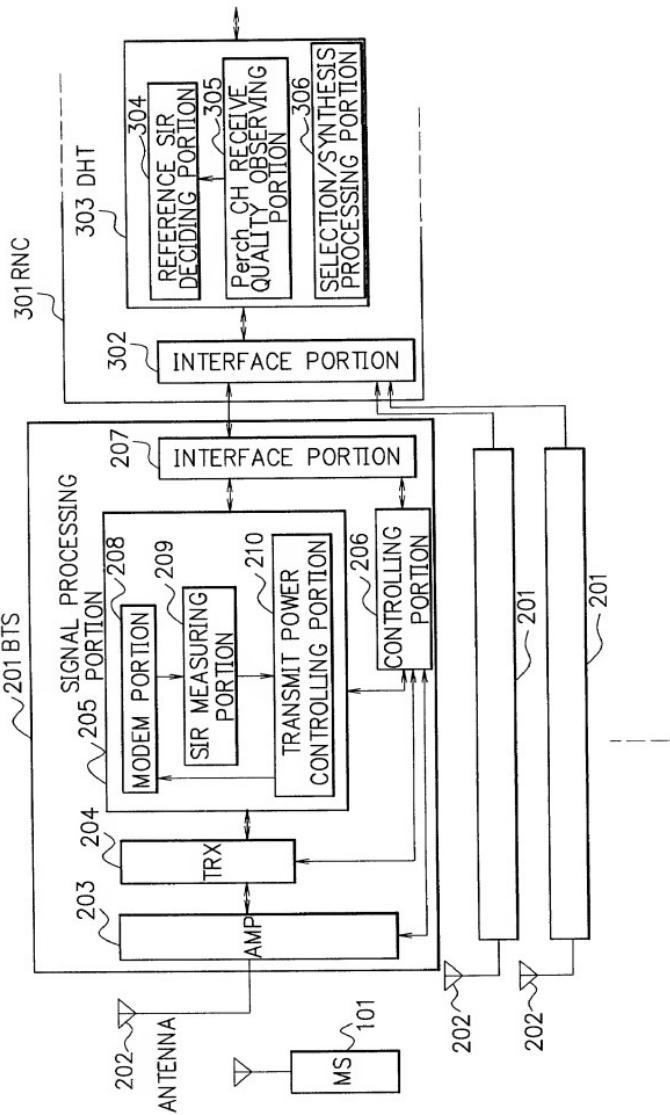
- 5       $Sref = Sref0 - (T1 - X) \times$   
        where  $\times$  is a desired constant,  
        T1 is a predetermined threshold value, and  
        Sref0 is an upper limit.

00000000000000000000000000000000

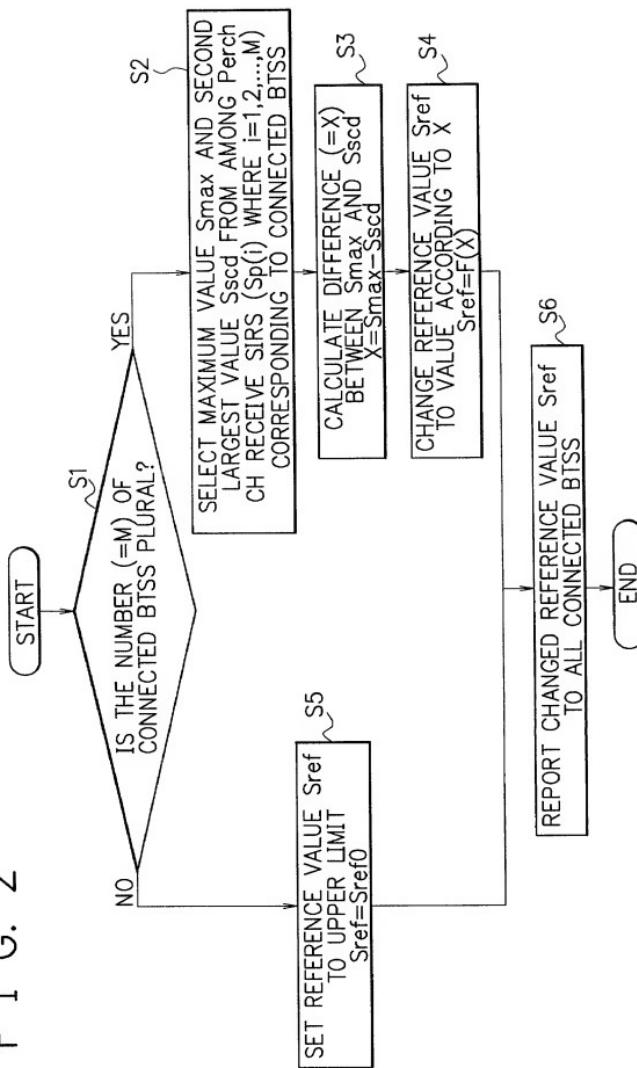
## ABSTRACT OF THE DISCLOSURE

- In a transmit power control method in a CDMA mobile communication system, it is thereby possible to change at a high speed a reference SIR used for reference in a high-speed closed loop control of an up link. The method includes the step of checking whether one or more base transceiver stations (BTSs) are connected, the step of, when a result of the checking step shows that two or more BTSs are connected, selecting CH receive SIRs (Signal to Interference Ratios) corresponding to the connected BTSs, and making a calculation by using the selected values, and the step of changing a value of a reference value Sref according to a result of calculation. The method also includes the step of, when the result of the checking shows that only one BTS is connected, setting the reference value Sref to an upper limit, and the step of reporting the changed reference value Sref to all the connected BTSs in each of the steps. In the method, it is possible to decide the reference value Sref in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSs. Therefore, it is possible to change the reference SIR at a high speed in response to a variation in selection/synthesis gain due to an increase or a decrease of the number of connected BTSs.

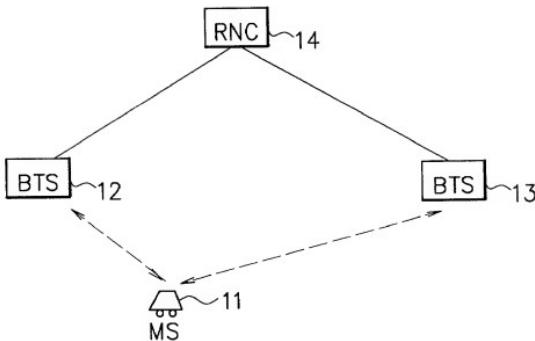
F I G. 1



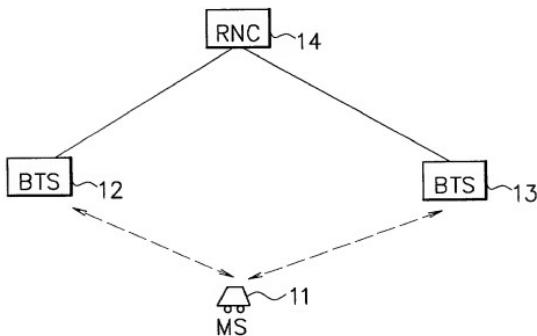
F I G. 2



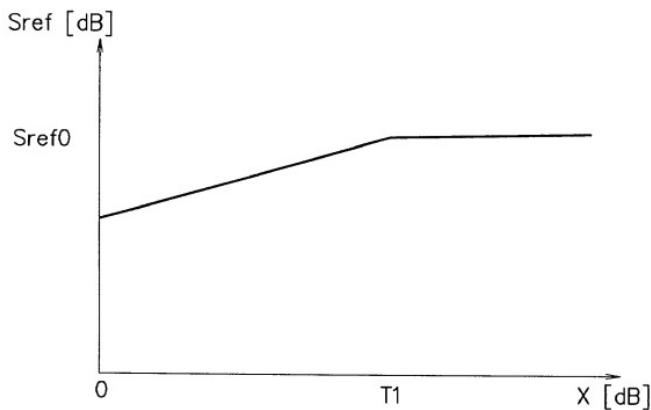
F I G. 3



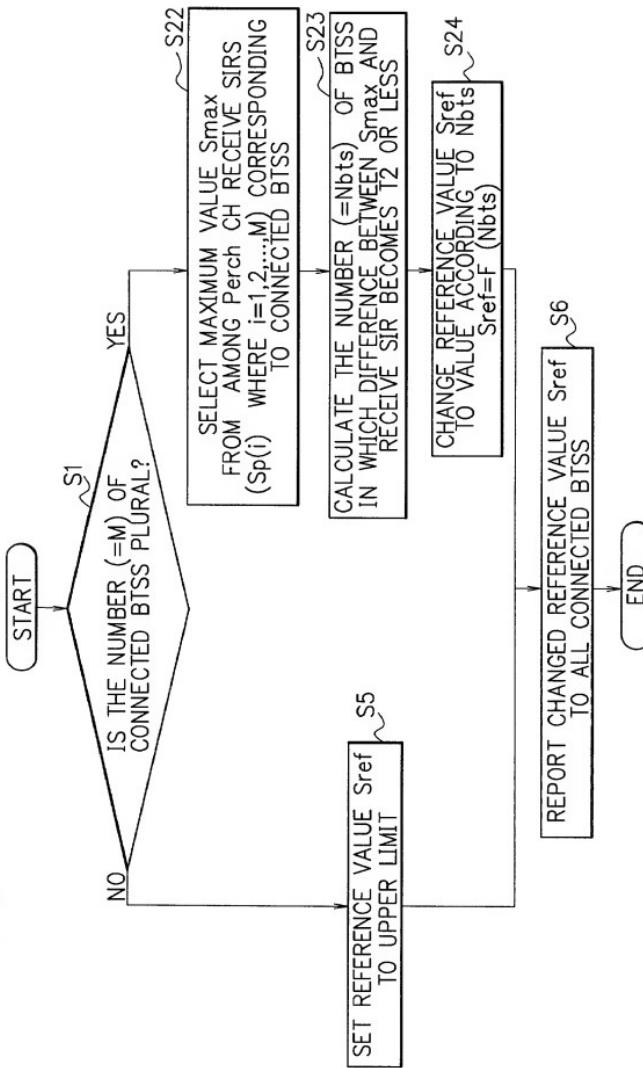
F I G. 4



F I G. 5



F I G. 6



F I G. 7

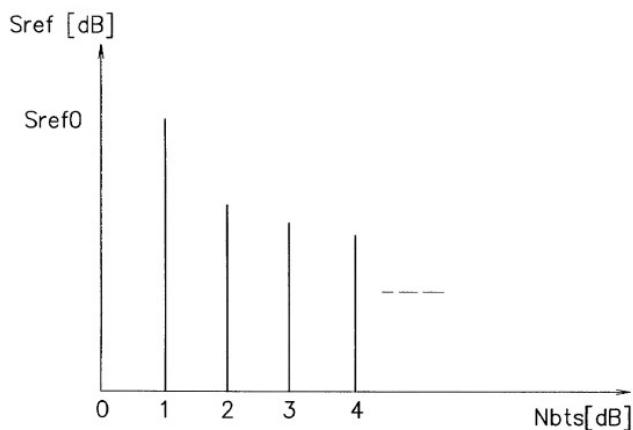


FIG. 8

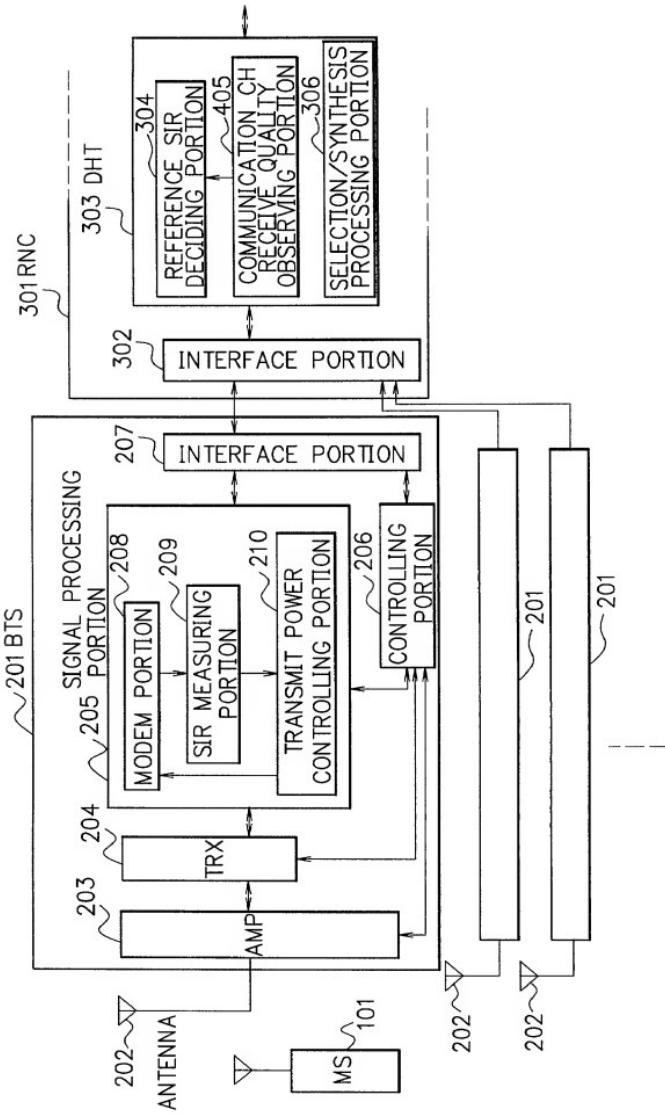


FIG. 9

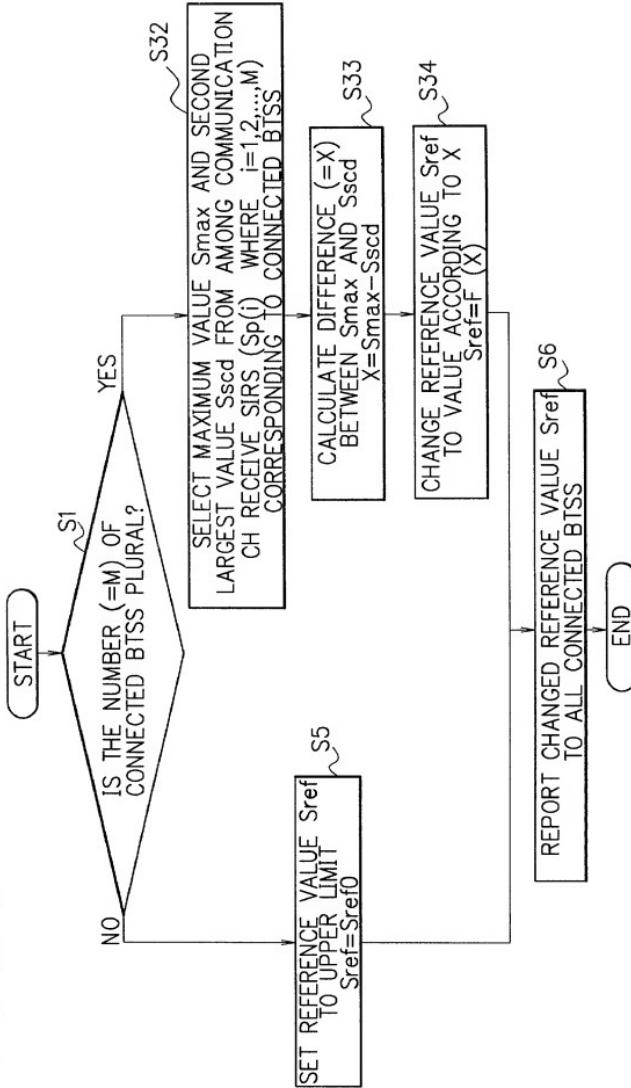
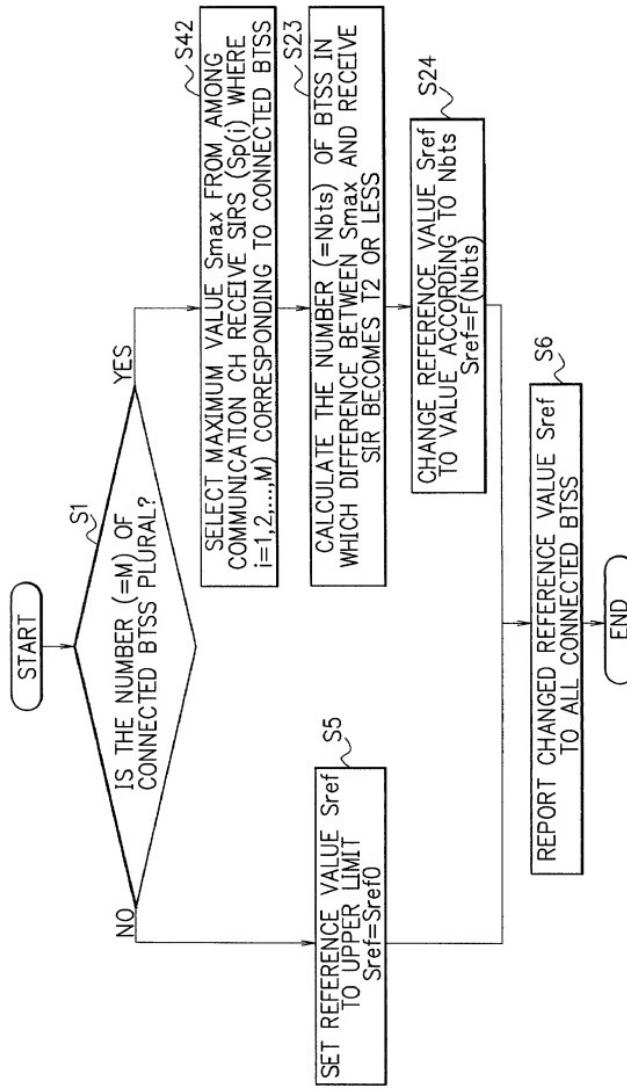


FIG. 10



**Application for United States Patent**

**DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## TRANSMIT POWER CONTROL METHOD IN CDMA MOBILE COMMUNICATION SYSTEM

the specification of which:  
(check one)

X (is attached hereto)  
— was filed on \_\_\_\_\_,  
as Application Serial No. \_\_\_\_\_,  
and was amended on \_\_\_\_\_, (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.\*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

<b>Prior Foreign Application(s)</b>			<b>priority claimed</b>
<u>11-286655</u> (Number)	<u>JAPAN</u> (Country)	<u>07/10/1999</u> (Day/Month/Year Filed)	X yes      no
<u>                </u> (Number)	<u>                </u> (Country)	<u>                </u> (Day/Month/Year Filed)	<u>                </u> yes      no
<u>                </u> (Number)	<u>                </u> (Country)	<u>                </u> (Day/Month/Year Filed)	<u>                </u> yes      no

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.) \_\_\_\_\_ (Filing Date) \_\_\_\_\_ (Status: patented, pending, abandoned)

**Power of Attorney:** As a named inventor, I hereby appoint Sean M. McGinn, Reg. No. 34,386, and Frederick W. Gibb, III, Reg. No. 37,629, as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to **McGinn & Gibb, P.C., 1701 Clarendon Boulevard, Suite 100, Arlington, Virginia 22209**. Telephone calls should be directed to McGinn & Gibb, P.C. at (703) 294-6500.

I hereby declare that all statements made herein by my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole  
or First Inventor Yukie Miyamoto

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence Tokyo, JAPAN

Citizenship Japanese

Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, JAPAN

Full Name of Second  
Joint Inventor, If Any \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Third  
Joint Inventor, If Any \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Full Name of Fourth  
Joint Inventor, If Any \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_

Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

(An additional sheet(s) is/are attached hereto if the present invention includes more than four inventors.)

\*Title 37, Code of Federal Regulations, § 1.56:

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.